



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:	John H. Randby, et al.
Application No.:	09/982,052
Filed:	October 16, 2001
For:	STENT DELIVERY SYSTEM
Examiner:	Not Yet Assigned
Group Art Unit:	3731

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BOX Non Fee  
Commissioner for Patent  
Washington, D.C. 20231

Docket No.: S63.2-8920

INFORMATION DISCLOSURE STATEMENT

Listed below or on an attached Form PTO-1449 and/or a copy of a PTO-892 form is information known to applicant(s). A copy of each listed publication, U.S. patent, foreign patent and U.S. patent application is being submitted herewith, along with a concise explanation of information in a foreign language, if any, pursuant to 37 C.F.R. §1.97-1.98, *except that* U.S. applications from which priority is claimed under 35 U.S.C. §120, and documents cited in such priority applications, may be omitted from the enclosures pursuant to 37 C.F.R. 1.98(d). Applicant's submission of copies of U.S. applications does not constitute a waiver of the confidentiality of such applications. As such, **Applicant requests that any copies of unpublished US applications submitted herewith be excluded from the file wrapper pursuant to 37 C.F.R. §1.14.**

Applicants respectfully request that the listed information be considered by the Examiner and be made of record in the above-identified application. If form PTO-1449 or PTO-892 from a prior application is enclosed, the Examiner is requested to initial and return it in accordance with MPEP §609.

This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in §1.56.

Applications that are listed on the accompanying modified form 1449 as related by priority are related by priority claim under 35 USC §120. Pursuant to 37 CFR §1.98(d), no copies of cited art in a previous application(s) to which priority was claimed need be submitted. Applicant is providing copies of the 1449 and/or 892 forms from these cases.

  X   I. This statement qualifies as a no-fee Information Disclosure Statement under 37 C.F.R. §1.97(b) or otherwise because to the knowledge of the undersigned attorney it is being filed (check all that apply):

- X   (1) within 3 months of the filing date of the application (other than a CPA); or
- (2) within 3 months of entry of the national stage; or
- (3) before the mailing of a first Office Action on the merits;
- (4) before the mailing of a first Office Action after the filing of a request for continued examination (RCE) under §1.114;
- (5) as part of a continued prosecution application (CPA); or
- (6) during the period of a suspension of action for a CPA under 37 C.F.R. §1.103(b).

      II. This statement is believed to require a fee or the submission of a certification under 37 C.F.R. §1.97 (c) or otherwise. If this statement is being filed after the latest of: (1) three months beyond the filing date of a national application (other than CPA); (2) three months beyond the date of entry of the national stage as set forth in §1.491 in an international application; (3) the mailing of a first Office Action on the merits; (4) the mailing of a first Office Action after the filing of a request for continued examination under §1.114; or (5) after the filing of a request for a continued prosecution application, but before the mailing date of the earlier of a final office action under §1.113, a notice of allowance under §1.311 or an action that otherwise closes prosecution in the application, then:

- (1) a certification as specified in §1.97(e) is provided below; or
- (2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with the payment of other papers filed together with this statement.

\_\_\_\_\_ **III. 37 C.F.R. §1.97(d).** If this statement is being filed after the mailing date of the earlier of a final office action under §1.113, a notice of allowance under §1.311, or an action that otherwise closes prosecution in the application, but before payment of the issue fee, then:

- (1) a certification as specified in §1.97(e) is completed below; and
- (2) a fee of \$180.00 as set forth in §1.17(p) is authorized below, enclosed, or included with payment of other papers filed together with this statement.

**X** **IV. Fee Authorization.** If any fee is due for consideration of this Information Disclosure Statement and full payment has not been submitted herewith, regardless of which boxes have been checked above, the Commissioner is hereby authorized to charge any additional fees associated with this communication to Deposit Account No. 22-0350. The Commissioner is hereby authorized to credit any overpayment associated with this communication to Deposit Account No. 22-0350.

***If paragraph II.1 or III is checked, also check one of the paragraphs below***

\_\_\_\_\_ I hereby certify, under 37 CFR §1.97(e)(1), that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the date of the filing of this information disclosure statement.

\_\_\_\_\_ I hereby certify, under 37 CFR §1.97(e)(2), that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and to the knowledge of the person signing the statement after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

***For the purpose of this certification, Applicant considers the PCT International Search Authority to constitute a foreign patent office.***

If this Information Disclosure Statement has been submitted without the appropriate box checked, Applicant requests that this Information Disclosure Statement be considered nevertheless if it is timely submitted under any of the provisions of 37 C.F.R. §1.97 or otherwise.

Finally, if any petition is necessary to ensure consideration of this Information Disclosure Statement, Applicant requests that this be treated as such a petition.

Respectfully submitted,

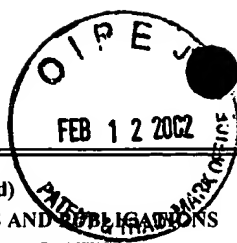
VIDAS, ARRETT & STEINKRAUS, P.A.

Date: January 15, 2002

By: 

William E. Anderson, II  
Registration No.: 37,766

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FORM PTO-1449 (Modified)  
LIST OF PATENTS AND PUBLICATIONS  
FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT  
(Use several sheets if necessary)

ATTY DOCKET NO.: S63.2-8920

APPLICATION NO.: 09/982,052

APPLICANT: John H. Randby, et al

FILING DATE: October 16, 2001

GROUP: 3731

REFERENCE DESIGNATION

U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS

EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5935135	08/10/99	Bramfitt, et al.	606/108	
	AB	5755708	05/26/98	Segal	604/109	
	AC	5836965	11/17/98	Jandersee, et al.	606/198	
	AD	5632760	05/27/97	Sheiban, et al	606/191	
	AE	5695498	12/09/97	Tower	606/108	
	AF	5195969	03/23/93	Wang, et al.	604/96	
	AG	5968069	10/19/99	Dusbabek, et al	606/194	
	AH	5971990	10/26/99	Venturelli	606/108	
	AI	5980530	11/09/99	Willard, et al	606/108	
	AJ	5409495	04/25/95	Osborn	606/108	
	AK	5366472	11/22/94	Hillstead	606/194	
	AL	5476476	12/19/95	Hillstead	606/194	

FOREIGN PATENT DOCUMENTS

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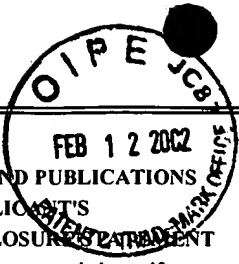
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

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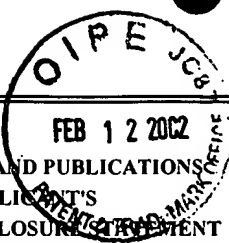
EXAMINER DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)				ATTY DOCKET NO.: <b>S6312-8920</b> APPLICANT: <b>John H. Randby, et al</b> FILING DATE: <b>October 16, 2001</b> <b>ROOM</b> GROUP: <b>3731</b>		
<b>REFERENCE DESIGNATION</b>				<b>U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS</b>		
EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5891154	04/06/99	Loeffler	606/108	
	AB	4739762	04/26/88	Palmaz	128/343	
	AC	4776337	10/11/88	Palmaz	128/343	
	AD	5102417	04/07/92	Palmaz	606/195	
	AE	4735665	04/05/88	Miyauchi, et al.	156/69	
	AF	5445646	08/29/95	Euteneuer	606/198	
	AG	5972018	10/26/99	Israel et al.	606/198	
	AH	4655771	04/07/87	Wallsten	623/1	
	AI	4954126	09/04/90	Wallsten	600/36	
	AJ	5061275	10/29/91	Wallsten, et al	623/1	
	AK	5108416	04/28/92	Ryan et al.	606/194	
	AL	5445646	08/29/95	Euteneuer, et al.	606/198	
<b>FOREIGN PATENT DOCUMENTS</b>						
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<b>FORM PTO-1449 (Modified)</b> <b>LIST OF PATENTS AND PUBLICATIONS</b> <b>FOR APPLICANT'S</b> <b>INFORMATION DISCLOSURE STATEMENT</b> (Use several sheets if necessary)				<b>ATTY DOCKET NO.:</b> S63.2-8920 <b>RECEIVED</b> <b>APPLICANT:</b> John H. Randby, et al <b>FILING DATE:</b> October 16, 2001 <b>ROOM</b>		<b>APPLICATION NO.:</b> 09/982,052 <b>GROUP:</b> 3731	
<b>REFERENCE DESIGNATION</b>				<b>U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS</b>			
<b>EXAM'S INIT.</b>		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS/ SUBCLASS</b>	<b>FILING DATE IF APPROPRIATE</b>	
	AA	5226880	07/13/93	Martin	604/99		
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		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>COUNTRY</b>			
	BA	EP0707837A1	04/24/96	EP			
	BB	0442657A2	02/06/91	EP			
	BC	EP0770366B1	07/24/96	EP			
	BD	EP0778010A2	12/05/96	EP			
	BE	WO90/05554	05/31/90	WO			
<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
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(Use several sheets if necessary)

ATTY DOCKET NO.: S63.2-8920 APPLICATION NO.: 09/982052

APPLICANT: John H. Handby et al

FILING DATE: OCT. 16. 2001 GROUP: 3731

REFERENCE DESIGNATION U.S. PATENT AND PUBLISHED APPLICATION DOCUMENTS

EXAM'S INIT.		DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5709703	01/20/98	Lukic, et al.	606/198	
	AB	5788707	08/04/98	Del Toro, et al.	606/108	
	AC	5403341	04/04/95	Solar	606/198	
	AD	4740207	02/26/88	Kreamer	623/1	
	AE	5007926	04/16/91	Derbyshire	623/1	
	AF	4733665	03/29/88	Palmaz	128/343	
	AG	4950227	08/21/90	Savin	604/8	
	AH					
	AI					
	AJ					
	AK					
	AL					

FOREIGN PATENT DOCUMENTS

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	BA	0990427A2	09/23/99	EP
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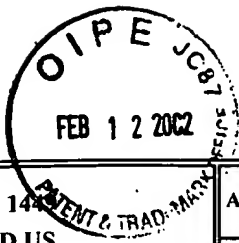
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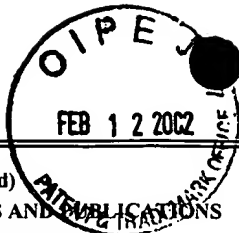
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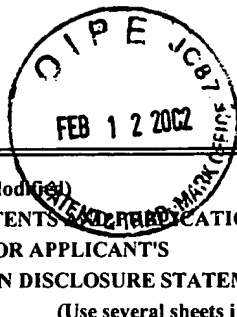
<b>Modified Form 1449</b> <b>UNPUBLISHED US APPLICATIONS</b> <b>NOT RELATED BY PRIORITY</b> <b>(COPIES ENCLOSED)</b>		ATTY DOCKET NO.: S63.2-8920		APPLICATION NO. 09/982052	
		APPLICANT: John H. Handby et al			
		FILING DATE: OCT. 16. 2001			
<b>UNPUBLISHED US APPLICATIONS NOT RELATED BY PRIORITY</b>					
EXAM'S INIT		APPLICATION NO.	FILING DATE	INVENTOR(S)	
	DA	09/668496	09/22/00	D. Yang	
	DB				
	DC				
	DD				
	DE				
	DF				
EXAMINER			DATE CONSIDERED		
EXAMINER: Initial if application considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					

<b>Modified Form 1449</b> <b>US APPLICATIONS</b> <b>RELATED BY PRIORITY</b> <b>(COPIES NOT ENCLOSED)</b>		ATTY DOCKET NO.:		APPLICATION NO.		
		APPLICANT:				
		FILING DATE:		GROUP:		
<b>US APPLICATIONS RELATED BY PRIORITY</b>						
EXAM'S INIT		APPLICATION NO.	FILING DATE	PATENT NO.	INVENTOR(S)	FORM 1449/892 ENC
	EA					
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	EG					
EXAMINER			DATE CONSIDERED			
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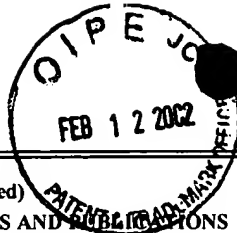
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				<b>APPLICANT:</b> John H. Handby et al			
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<b>EXAM'S INIT.</b>		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>NAME</b>	<b>CLASS/ SUBCLASS</b>	<b>FILING DATE IF APPROPRIATE</b>	
	AA	5702418	12/30/97	Ravenscroft	606/198		
	AB	5968069	10/19/99	Dusbabek, et al	606/194		
	AC	5797877	08/25/98	Hamilton, et al	604/96		
	AD	6146356	11/14/00	Wang, et al	604/96		
	AE	5980532	11/09/99	Wang	606/108		
	AF	5980533	11/09/99	Holman	606/108		
	AG	5534007	07/09/96	St. Germain, et al.	606/108		
	AH	2833706	10/10/00	Janacek	604/96		
	AI	5702364	12/30/97	Euteneuer, et al	604/96		
	AJ	6096056	08/01/00	Brown	606/194		
	AK	6068634	05/30/00	Lorentzen Cornelius, et al.	606/108		
	AL	6036697	03/14/00	DiCaprio	606/108		
<b>FOREIGN PATENT DOCUMENTS</b>							
		<b>DOCUMENT NUMBER</b>	<b>DATE</b>	<b>COUNTRY</b>			
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<b>OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)</b>							
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	AA	6007543	12/28/99	Ellis, et al	606/108		
	AB	5968069	10/19/99	Dusbabek, et al.	606/194		
	AC	5957930	09/28/99	Vrba	606/108		
	AD	5944726	08/31/99	Blaeser, et al.	606/108		
	AE	5653691	08/05/97	Rupp, et al.	604/96		
	AF	5443907	08/22/95	Slaikeu, et al.	428/375		
	AG	6146356	11/14/00	Wang, et al.	604/96		
	AH	6129706	10/10/00	Janacek	604/96		
	AI	5954740	09/21/99	Ravenscroft, et al.	606/194		
	AJ	5248305	09/28/93	Zdrahala	604/280		
	AK	5620457	04/15/97	Pinchasik, et al	606/194		
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<b>FOREIGN PATENT DOCUMENTS</b>							
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	AA	4105732	08/08/78	Slingluff	264/104		
	AB	6013728	01/11/00	Chen, etAL	525/92		
	AC	5879369	05/09/99	Ishida	606/194		
	AD	6193738	02/27/01	Tomaschko, et al.	606/194		
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